

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,410	12/29/2000	Frederick Mark Owen Homewood	00-BN-056 (STMI01-00056)

CONFIRMATION NO. 7823

## FORMALITIES LETTER



\*OC000000005769065\*

NOVAKOV DAVIS & MUNCK  
900 THREE GALLERIA TOWER  
13155 NOEL ROAD  
DALLAS, TX 75240

Date Mailed: 02/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED

FEB 21 2001

NOVAKOV DAVIS &amp; MUNCK

04/19/2001 MGBREH1 00000089 09751410

01 FC:101 710.00 OP  
02 FC:105 130.00 OP


 DOCKET NO. 00-BN-056 (STMI01-00056)

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Mark Owen Homewood, et al

U.S. Serial No. : 09/751,410

Filed : December 29, 2000

For : SYSTEM AND METHOD FOR EXECUTING CONDITIONAL  
BRANCH INSTRUCTIONS IN A DATA PROCESSOR

Group No. : 2185

Examiner : (Not Yet Known)

**BOX MISSING PARTS**  
 Commissioner of Patents  
 and Trademarks  
 Washington, D. C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on 04/16/2001 (Date)

KATHY LONGENECKER  
 (Printed or typed name of person signing the certificate)

*Kathy Longenecker*  
 (Signature of the person signing the certificate)

Sir:

RESPONSE TO MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application dated February 15, 2001,  
 attached are the following documents:

1. Declaration and Power of Attorney;
  2. Our firm's check in the amount of \$840.00 to cover the patent application filing fee (\$710.00) and surcharge (\$130.00);
  3. A copy of the Notice to File Missing Parts of Application;
  4. Request for Corrected Official Filing Receipt (with redlined copy of Filing Receipt);
- and,


5. Our postcard receipt.

The Commissioner is hereby authorized to charge any additional fees which may be required,  
or credit any overpayment to Novakov Davis Deposit Account No. 50-0208.

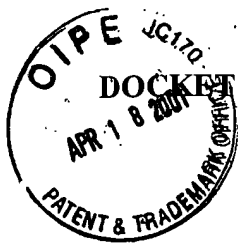
Respectfully submitted,

NOVAKOV DAVIS & MUNCK, P.C.

Date: April 16, 2001

  
\_\_\_\_\_  
William A. Munck  
Registration No. 39,308

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Dallas, Texas 75240  
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DOCKET NO. 00-BN-056 (STMI01-00056)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Mark Owen Homewood, et al  
U.S. Serial No. : 09/751,410  
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Group No. : 2185  
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**BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

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KATHY LONGENECKER

(Printed or typed name of person signing the certificate)

Kathy Longenecker

(Signature of the person signing the certificate)

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

In reviewing the file, it has been noted that the Official Filing Receipt contains an error in the first inventor's name.

"Frederick" should be deleted from the first inventor's name. The correct name of the first inventor is as follows:

"Mark Owen Homewood"

A redlined copy of the Official Filing Receipt is attached. Once the correction is made, please forward a new filing receipt to the undersigned attorney at the below identified address.



ATTORNEY DOCKET NO. 00-BN-056 (STMI01-00056)  
U.S. SERIAL NO. 09/751,410  
PATENT

The Commissioner is hereby authorized to charge any additional fees which may be required,  
or credit any overpayment to Novakov Davis Deposit Account No. 50-0208.

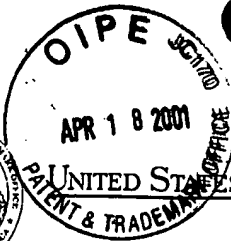
Respectfully submitted,

NOVAKOV DAVIS & MUNCK, P.C.

Date: April 16, 2001

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/751,410	12/29/2000	2185	0.00	00-BN-056 (STMI01-00056)	7	20	3

CONFIRMATION NO. 7823

## FILING RECEIPT



\*OC000000005769064\*

NOVAKOV DAVIS & MUNCK  
900 THREE GALLERIA TOWER  
13155 NOEL ROAD  
DALLAS, TX 75240

Date Mailed: 02/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~Frederick~~ Mark Owen Homewood, Winscombe, UNITED KINGDOM;  
Gary L. Vondran, San Carlos, CA;  
Geoffrey M. Brown, Watertown, MA;  
Paul Faraboschi, Brighthon, MA;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/13/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

DOCKETED

FEB 27 2001

RECEIVED

FEB 21 2001

NOVAKOV DAVIS &amp; MUNCK

## Title

System and method for executing conditional branch instructions in a data processor

Preliminary Class

711



Data entry by : MOHAMED, RIZAHA

Team : OIPE

Date: 02/15/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



